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About the Office of Inspector General

SBA's Office of Inspector General (OIG) provides auditing, investigative, and other services to support and assist SBA in achieving its statutory mission.

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The U.S. Small Business Administration's (SBA) Office of Inspector General (OIG) provides independent, objective, and timely oversight to improve the integrity, accountability, and performance of SBA and its programs for the benefit of the American people. OIG sees



improve SBA programs by identifying key issues facing the agency, recommending corrective actions, and promoting a high level of integrity.

OIG is a member of The Council of the Inspectors General on Integrity and Efficiency, an independent entity established within the executive branch.

The Inspector General, a presidentially appointed and Senate-confirmed officer, keeps the SBA Administrator and the Congress fully informed of any problems, recommends corrective actions, and monitors progress in the implementation of such actions.

The two operating components of OIG are the Auditing Division and the Investigations Division. The Auditing and Investigations Divisions each administer their respective activities through field offices around the country. The Management and Operations Division and Office of Counsel support both the Inspector General and the operating divisions by providing human resources, budget, policy and planning, information technology, communications, administration, and legal services, respectively. >

OIG Statutory Responsibilities

Statutory responsibilities

OIG is an independent and objective oversight office created within SBA by the Inspector General Act.

The Inspector General Act specifies that the OIG will:

- Promote economy, efficiency, and effectiveness in the management of SBA programs and supporting operations
- Conduct and supervise audits, investigations, and reviews relating to the agency's programs and support operations
- Detect and prevent fraud and abuse
- Review existing and proposed legislation and regulations and make appropriate recommendations

- Maintain effective working relationships with other federal, state and local governmental agencies, and non-governmental entities, regarding the mandated duties of the Inspector General
- Keep the SBA Administrator and Congress informed of serious problems and recommend corrective actions and implementation measures
- Comply with the audit standards of the Comptroller General
- Avoid duplication of Government Accountability Office activities
- Report violations of law to the U.S. Attorney General

OIG also has other significant statutory responsibilities. These include responsibilities under the Small Business Act and the Small Business Investment Act, as well as an increasing number of legislative mandates and government-wide directives.

Improving SBA program management

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Audits

OIG conducts financial and performance audits of internal agency functions and of participants in SBA programs to promote the economical, efficient, and effective operation of SBA programs.

Audits give SBA managers an objective and systematic assessment of how well their offices are carrying out their SBA-related programs and operations. Financial audits examine the presentation of financial information, internal controls, and adherence to financial requirements. Performance audits assess operations in terms of economical use of resources.

Investigations

When an investigation finds a serious systemic deficiency in Agency procedures or practices of SBA personnel, OIG staff provide an advisory memorandum to the appropriate program officials setting forth the pertinent investigative findings and suggesting possible

management actions or procedural changes to decrease or eliminate this vulnerability in the program.

Task forces

OIG staff participate with SBA staff on agency-wide task forces to address issues of concern to the agency, the Congress, and the small business community.

Review of laws and regulations

OIG is mandated to review and evaluate legislation, regulations, standard operating procedures and other directives in terms of their impact on program economy and efficiency, or their prevention of fraud and abuse.

Detecting and deterring fraud

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Investigations

OIG investigates allegations of possible criminal violations and other wrongdoing. Most investigations are conducted in conjunction with a U.S. Attorney's Office. While the subject of an OIG investigation can be an SBA employee, the vast majority of the subjects are applicants for or participants in agency programs.

Background investigations/name check

OIG ensures that its personnel have the appropriate background investigations and security clearances to occupy their positions and perform their duties. OIG also adjudicates its personnel for issuance of the PIV card in accordance with regulations to ensure the safe access to federal facilities and information systems.

Contact us

By mail:

409 3rd St. SW, Suite 7150

Washington, DC 20416

By telephone (9:00 a.m. to 5:00 p.m. ET):

202-205-6586

Office leadership

- [William W. Kirk](#), Inspector General
- [Sheldon Shoemaker](#), Deputy Inspector General
- [Francine Hines](#), Assistant Inspector General for Management and Operations
- [Andrea Deadwyler](#), Assistant Inspector General for Audits
- *Vacant*, Assistant Inspector General for Investigations
- *Vacant*, Assistant Inspector General for Technology Solutions

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Office directory

Office of Counsel

Nathania Bates, Counsel

ATTN: Office of Counsel

Office of Inspector General

409 3rd St. SW, Suite 7150

Washington, DC 20416

Telephone: 202-205-7178

Investigations Division

Region	Field Office
Eastern Region Jason Xerri, Acting Special Agent-in-Charge	Atlanta Field Office 233 Peachtree St., NE, Suite 240 Atlanta, GA 30303 Telephone: 404-331-2326 Miami Field Office Claude Pepper Federal Building 51 SW 1st Ave., Suite 1325 Miami, FL 33130 Telephone: 305-536-4710 New York Field Office 145 Pinelawn Rd., Suite 250 South Melville, NY 11747 Telephone: 631-249-6502 Philadelphia Field Office 900 Market St., Room 321 Robert N.C. Nix Federal Building Philadelphia, PA 19107 Telephone: 215-597-3850 Washington, D.C. Field Office 409 3rd St., SW C940 Washington, DC 20416 Telephone: 202-205-6226
Central Region Robert Collins, Acting Special Agent-in-Charge	Houston Field Office 1919 Smith St., Suite 2323 Houston, TX 77002 Telephone: 832-318-0324

Region	Field Office
	Chicago Field Office 801 Warrenville Rd., Suite 230 Lisle, IL 60532 Telephone: 630-241-2444 Detroit Field Office 477 Michigan Ave., Suite 515 Detroit, MI 48226 Telephone: 313-226-6075 x249
Western Region Jonathan Huang, Acting Special Agent-in-Charge	Seattle Field Office 33400 9th Ave., Suite 210 Federal Way, WA 98003 Telephone: 253-835-7986 Denver Field Office 1 Denver Federal Center Bldg #53 Room H-1937 Lakewood, CO 80225 Telephone: 253-835-7992 Los Angeles Field Office 12440 East Imperial Hwy., Suite 240 Norwalk, CA 90650 Telephone: 562-868-3193
Investigations Operations Wilbur Barras, Special Agent-in-Charge	409 3rd St., SW Washington, DC 20416 Office of Security Operations Telephone: 202-205-6223

Region	Field Office
National Disaster Program Michelle Blank, National Disaster Program Director	Telephone: 267-825-4663

Audits Division

Credit Programs Group	Teresa Gray, Director Telephone: 202-205-7390
Disaster Assistance Programs Group	John Provan, Director Disaster Assistance Team 150 Westpark Wy., Suite 130 Euless, Texas 76040 Telephone: 817-684-5333
Business Development Programs Group	Christina Sweet, Director Telephone: 202-205-8992
Financial Management and Information Technology Group	Christina Sweet, Acting Director Telephone: 202-205-8992
Audit Operations Group	Stephen Chow, Director Telephone: 202-235-5465



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